



*IFW Receipt*

**IN THE  
UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**IN RE APPLICATION OF:** Desilvestro et al.

**CASE:** ILI-031148

**SER. NO.:** 10/568,129

**FILING DATE:** February 14, 2006

**FOR:** RECHARGEABLE BIPOLAR HIGH  
POWER ELECTROCHEMICAL  
DEVICE WITH REDUCED  
MONITORING REQUIREMENT

**REQUEST FOR  
CORRECTED  
FILING RECEIPT**

COMMISSIONER  
FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**ATTENTION OF:** Not yet assigned

**EXAMINER:** Not yet assigned

**CONFIRMATION NO.:** 9634

If any charges or fees must be paid in connection with the following communication, they may be paid out of our Deposit Account No. 50-0545.

Dear Examiner:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following data, which is incorrectly entered.

Error In

Ammundsen Brett

Correct Data

Brett Ammundsen

**FACTOR & LAKE, LTD.**  
1327 W. Washington Blvd  
Suite 5G/H  
Chicago, IL 60607  
(312) 226-1818 Telephone  
(312) 226-1919 Facsimile

<b>Jody L. Factor</b>	<b>34157</b>
<b>Micheal D. Lake</b>	<b>33727</b>
<b>Edward L. Bishop</b>	<b>39110</b>
<b>Joseph M. Kinsella Jr.</b>	<b>45743</b>
<b>Nick S. Lee</b>	<b>54260</b>

Also attached is a copy of the previously filed declaration wherein the above inventor's name was incorrectly entered. Accordingly, a supplemental declaration with the correct inventor's name, fully executed, is attached herewith.

Should anything further be required, a telephone call to the undersigned at (312) 226-1818 is respectfully solicited.

Respectfully submitted,

FACTOR & LAKE, LTD.

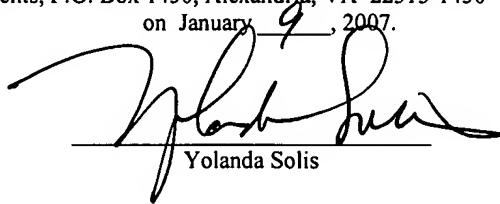


Nicholas S. Lee  
Reg. No. 54,260  
One of Applicant's Attorneys

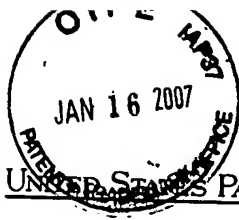
Date: January 9, 2007

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Patent Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 9, 2007.



Yolanda Solis



UNITED STATES PATENT AND TRADEMARK OFFICE

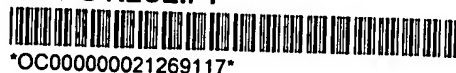
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 United States Patent and Trademark Office  
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 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/568,129	11/03/2006	1745	665	ILI-031148	7	26	1

22876  
 FACTOR & LAKE, LTD  
 1327 W. WASHINGTON BLVD.  
 SUITE 5G/H  
 CHICAGO, IL 60607

CONFIRMATION NO. 9634

## FILING RECEIPT



\*OC000000021269117\*

Date Mailed: 11/21/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hans Desilvestro, Howick, NEW ZEALAND;  
 Casey Ann Van Veen, Manurewa, NEW ZEALAND;  
 Nancy Lan Jiang, Remuera, NEW ZEALAND;  
 Ammundsen Brett, Piha, NEW ZEALAND;

*should be Brett Ammundsen*

## Assignment For Published Patent Application

PACIFIC LITHIUM NEW ZEALAND LIMITED, Auckland, NEW ZEALAND

Power of Attorney: The patent practitioners associated with Customer Number 22876.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/09183 08/16/2004  
 which claims benefit of 60/495,324 08/15/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 11/16/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/568,129**

Projected Publication Date: 02/22/2007

**Non-Publication Request:** No

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

Rechargeable bipolar high power electrochemical device with reduced monitoring requirement

**Preliminary Class**

429

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☒ Declaration  
Submitted  
With Initial  
Filing

OR

☐ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket  
Number

ILI-031148

First Named Inventor

DeSilvestro Desilvestro

COMPLETE IF KNOWN

Application Number

10/568,129

Filing Date

2/14/2006

Art Unit

Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

RECHARGEABLE BIPOLAR HIGH POWER ELECTROCHEMICAL  
DEVICE WITH REDUCED MONITORING REQUIREMENT

(Title of the Invention)

the specification of which

☐

is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

8/18/2004

as United States Application Number or PCT International

Application Number

PCT/EP04/009183

and was amended on (MM/DD/YYYY)

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/EP04/009183	PCT	8/16/2004	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
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## DECLARATION – Utility or Design Patent Application

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City

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])  
Hans

Family Name or Surname  
Desilvestro

Inventor's Signature

Date

Residence: City

State

Country

Citizenship

Howick

Auckland

New Zealand

CH

Mailing Address

16 Del Mar Court

City

State

Zip

Country

Howick

Auckland

New Zealand

☒

Additional inventors or a legal representative are being named on the \_\_\_\_1\_\_\_\_ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto

JAN 16 2007

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 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
 PTO/SE/02A (09-04)

## DECLARATION

 ADDITIONAL INVENTOR(S)  
 Supplemental Sheet

Page 1 of 1

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Casey Ann

 Inventor's  
 Signature
*Casey Ann*

Family Name or Surname

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 Residence: City  
 Manurewa

 State  
 Auckland

 Residence: City  
 Manurewa

 Date 21 March 2006  
 State  
 Auckland

Mailing Address

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 City  
 Manurewa

 State  
 Auckland

 City  
 Manurewa

 State  
 Auckland

Name of Additional Joint Inventor, if any:

Given Name (first and middle (if any))

Family Name or Surname

Nancy Lan

 Inventor's  
 Signature
*Nancy Lan*

Jiang

Date 25 March 2006

 Residence: City  
 Remuera

 State  
 Auckland

 Residence: City  
 Remuera

 State  
 Auckland

Mailing Address

2/471 Remuera Road

 City  
 Remuera

 State  
 Auckland

 City  
 Remuera

 State  
 Auckland

Name of Additional Joint Inventor, if any:

Given Name (first and middle (if any))

Family Name or Surname

~~Ammundson~~
 Inventor's  
 Signature
*Brett NEL*

Brett NEL

Date 14 March 2006

 Residence: City  
 Piha

 State  
 Auckland

 Residence: City  
 Piha

 State  
 Auckland

Mailing Address

209 Piha Road

 City  
 Piha

 State  
 Auckland

 City  
 Piha

 State  
 Auckland

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>	Attorney Docket Number	ILI-031148
	First Named Inventor	DeSilvestro, Desilvestro
	COMPLETE IF KNOWN	
	Application Number	10/568,129
	Filing Date	February 14, 2006
<input checked="" type="checkbox"/> Declaration Submitted With Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Art Unit	9634
	Examiner Name	

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

RECHARGEABLE BIPOLAR HIGH POWER ELECTROCHEMICAL  
DEVICE WITH REDUCED MONITORING REQUIREMENT

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

8/18/2004

as United States Application Number or PCT International

Application Number **PCT/EP04/009183** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/EP04/009183	PCT	8/16/2004	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])  
**Hans**

Family Name or Surname  
**Desilvestro**

Inventor's Signature

Date

Residence: City  
**Howick**

State  
**Auckland**

Country  
**New Zealand**

Citizenship  
**CH**

Mailing Address  
**16 Del Mar Court**

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State  
**Auckland**

Zip

Country  
**New Zealand**

☒ Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.



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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
		Page 1 of 1	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Casey Ann		Van Veen	
Inventor's Signature		Date	
Residence: City	Manurewa	State	Auckland
10 Ashland Place			
Mailing Address			
City	Manurewa	State	Auckland
Name of Additional Joint Inventor, if any:		<input type="checkbox"/>	
Given Name (first and middle [if any])		Family Name or Surname	
Nacey Lan		Jiang	
Inventor's Signature		Date	
Residence: City	Northcode	State	Auckland
5-134 Onewa Road			
Mailing Address			
City	Northcode	State	Auckland
Name of Additional Joint Inventor, if any:		<input type="checkbox"/>	
Given Name (first and middle [if any])		Family Name or Surname	
Brett		Ammundsen	
Inventor's Signature		Date 29 Dec 2006	
Residence: City	Piha	State	Auckland
209 Piha Road			
Mailing Address			
City	Piha	State	Auckland

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.83. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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